

Format of holding of specified securities

- | | | |
|---|---|---------------------------|
| 1 | Name of Listed Entity: | NRC LIMITED |
| 2 | Scrip Code/Name of Scrip/Class of Security | 503780/NRC LIMITED/EQUITY |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | Reg. 31(1)(b) |
| | a. If under 31(1)(b) then indicate the report for Quarter ending | 30th Sept,2016 |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment | N.A. |

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | | No |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes | |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category | Category of shareholder | Nos. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | | | |
|----------|--------------------------------|----------------------|---|--|--|------------------------|---|--|------------|----------|-------------------------|--|--|----------------------------|--|--|-----------------------------|---|--------|-----------------------------|-------|
| | | | | | | | | (IX) | | | | | | (X) | (XI) = (VII)+(X) As a % of (A+B+C2) | (XII) | | | (XIII) | | (XIV) |
| | | | | | | | | No of Voting Rights | | | Total as a % of (A+B+C) | | | | | No. | As a % of total Shares held | | No. | As a % of total Shares held | |
| | | | | | | | | Class eg: X | Class eg:y | Total | | | | | | | | | | | |
| (A) | Promoter & Promoter Group | 7 | 17421548 | 0 | 0 | 17421548 | 46.79 | 17421548 | 0 | 17421548 | 46.79 | 0 | 46.79 | 0 | 0.00 | 13335377 | 76.55 | 17421548 | | | |
| (B) | Public | 26462 | 19815865 | 0 | 0 | 19815865 | 53.21 | 19815865 | 0 | 19815865 | 53.21 | 0 | 53.21 | 0 | 0.00 | NA | | 18232894 | | | |
| (C) | Non Promoter- Non Public | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | | 0 | | | |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | | 0 | | | |
| (C2) | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | | 0 | | | |
| | Total | 26469 | 37237413 | 0 | 0 | 37237413 | 100.00 | 37237413 | 0.00 | 37237413 | 100.00 | 0 | 100.00 | 0 | 0.00 | 13335377 | 35.81 | 35654442 | | | |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the Shareholders | PAN | No. of shareholder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | | | |
|-------|---|------------|--------------------|---|-----------------------------------|---|---------------------------|---|--|----------|-------------------|--|--|----------------------------|-----------------------------------|--|-----------------|---|-----------------------------|-----|-----------------------------|
| | | | | | | | | | (IX) | | | | | (X) | (XI) = (VII)+(X) as a % of A+B+C2 | (XII) | | | (XIII) | | |
| | | | | | | | | | No of Voting Rights | | | | | | | Total as a % of Total Voting rights | No. | | As a % of total Shares held | No. | As a % of total shares held |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | | | |
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (VII = IV+V+VI) | As a % of (A+B+C2) (VIII) | | | | | | | | | | | (XIV) | | | |
| (1) | Indian | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | | 2 | 66171 | 0 | 0 | 66171 | 0.18 | 66171 | 0 | 66171 | 0.18 | 0 | 0 | 0 | 0 | 0 | 0 | 66171 | | |
| i | GOURI PRASAD GOENKA | AECPG8809F | 1 | 47,271 | 0 | 0 | 47271 | 0.13 | 47271 | 0 | 47271 | 0.13 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 47271 | | |
| ii | INDU GOENKA | ADCPG6069L | 1 | 18,900 | 0 | 0 | 18900 | 0.05 | 18900 | 0 | 18900 | 0.05 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 18900 | | |
| (b) | Central Government/ State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| (c) | Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| (d) | Any Other (specify) | | 5 | 17,355,377 | 0 | 0 | 17,355,377 | 46.61 | 17,355,377 | 0 | 17,355,377 | 46.61 | 0 | 0.00 | 13,335,377 | 76.84 | 17355377 | 76.84 | 17355377 | | |
| (d-1) | Bodies Corporate | | | | | | | | | | | | | | | | | | | | |
| ii | ISG TRADERS LIMITED | AABC11355C | 1 | 13,465,290 | 0 | 0 | 13,465,290 | 36.16 | 13465290 | 0 | 13465290 | 36.16 | 0 | 0.00 | 9,465,290 | 70.29 | 13,465,290 | 70.29 | 13,465,290 | | |
| iii | PALLMALL EDUSYSTEMS AND MEDICARE SERVICES PVT. LTD. | AADCP0207G | 1 | 313,922 | 0 | 0 | 313,922 | 0.84 | 313922 | 0 | 313922 | 0.84 | 0 | 0.00 | 313,922 | 100.00 | 313,922 | 100.00 | 313,922 | | |
| iv | SHUBH SHANTI SERVICES LTD | AAGCS9381R | 1 | 3,262,165 | 0 | 0 | 3,262,165 | 8.76 | 3262165 | 0 | 3262165 | 8.76 | 0 | 0.00 | 3,262,165 | 100.00 | 3,262,165 | 100.00 | 3,262,165 | | |
| v | SILENT VALLEY INVESTMENTS LIMITED | AAHCS4097H | 1 | 294,000 | 0 | 0 | 294,000 | 0.79 | 294000 | 0 | 294000 | 0.79 | 0 | 0.00 | 294,000 | 100.00 | 294,000 | 100.00 | 294,000 | | |
| (d-2) | Trust | | | | | | | | | | | | | | | | | | | | |
| i | DEVANAMAN TRUST | AAAAD1585E | 1 | 20,000 | 0 | 0 | 20,000 | 0.05 | 20000 | 0 | 20000 | 0.05 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 20,000 | | |
| | | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| | Sub-Total (A)(1) | | 7 | 17421548 | 0 | 0 | 17421548 | 46.79 | 17421548 | 0 | 17,421,548 | 46.79 | 0 | 0.00 | 13335377 | 76.84 | 17421548 | 76.84 | 17421548 | | |
| (2) | Foreign | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/ Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| (b) | Government | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| (c) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| (d) | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| (e) | Any Other (specify Name (xyz...)) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 7 | 17421548 | 0 | 0 | 17421548 | 46.79 | 17421548 | 0 | 17421548 | 46.79 | 0 | 0.00 | 13335377 | 76.55 | 17421548 | 76.55 | 17421548 | | |

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III - Statement showing shareholding pattern of the Public shareholder

| Category & Name of the Shareholders | PAN | Nos. of share-holder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Share-holding % calculated as per SCRR, 1957 | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | | | |
|---|-------------|----------------------|---|-----------------------------------|---|------------------------|--|--|----------|-----------------|--|--|----------------------------|-----------------------------------|--|----------|---|-----------------------------|----------------------|--|
| | | | | | | | | (IX) | | | | | (X) | (XI) = (VII)+(X) as a % of A+B+C2 | (XII) | | | (XIII) | | |
| | | | | | | | | No of Voting Rights | | | | | | | Total as a % of Total Voting rights | No. | | As a % of total Shares held | No. (not applicable) | As a % of total shares held (Not applicable) |
| | | | | | | | | Class X | Class Y | Total | | | | | | | | | | |
| (1) Institutions | | | | | | | | | | | | | | | | | | | | |
| (a) Mutual Funds/UTI | | 4 | 14913 | 0 | 0 | 14913 | 0.04 | 14913 | 0 | 14913 | 0.04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 63 | |
| (b) Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (c) Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (d) Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (e) Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (f) Financial Institutions/ Banks | | 25 | 1587319 | 0 | 0 | 1587319 | 4.26 | 1587319 | 0 | 1587319 | 4.26 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1579844 | |
| (i) Idbi Bank Ltd. | AABCIB8842G | 1 | 1,116,887 | 0 | 0 | 1,116,887 | 3.00 | 1116887 | 0 | 1116887 | 3.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1116887 | |
| (ii) Bank Of Baroda - Main Branch, Kolkata. | AAACB1534F | 1 | 460,000 | 0 | 0 | 460000 | 1.24 | 460000 | 0 | 460000 | 1.24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 460000 | |
| (g) Insurance Companies | | 5 | 4960 | 0 | 0 | 4960 | 0.01 | 4960 | 0 | 4960 | 0.01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 | |
| (h) Provident Funds/ Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (i) Any Other (specify) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Sub-Total (B)(1) | | 34 | 1607192 | 0 | 0 | 1607192 | 4.32 | 1607192 | 0 | 1607192 | 4.32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1580007 | |
| (2) Central Government/ State Government(s)/ President of India | | 1 | 150 | 0 | 0 | 150 | 0.00 | 150 | 0 | 150 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Sub-Total (B)(2) | | 1 | 150 | 0 | 0 | 150 | 0.00 | 150 | 0 | 150 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (3) Non-institutions | | | | | | | | | | | | | | | | | | | | |
| (a) Individuals - | | | | | | | | | | | | | | | | | | | | |
| i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | | 26029 | 12105534 | 0 | 0 | 12105534 | 32.51 | 12105534 | 0 | 12105534 | 32.51 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10824511 | |
| ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | 86 | 4199660 | 0 | 0 | 4199660 | 11.28 | 4199660 | 0 | 4199660 | 11.28 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4052855 | |
| (b) NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (c) Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (d) Overseas Depositories (holding DRs) (balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (e) Any Other (specify) | | 312 | 1,903,329 | 0 | 0 | 1,903,329 | 5.11 | 1,903,329 | 0 | 1,903,329 | 5.11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,775,521 | |
| i Trusts | | 4 | 6133 | 0 | 0 | 6133 | 0.02 | 6133 | 0 | 6133 | 0.02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6133 | |
| ii Foreign Companies | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| iii Directors & Relatives | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| iv Clearing Members | | 66 | 186181 | 0 | 0 | 186181 | 0.50 | 186181 | 0 | 186181 | 0.50 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 186181 | |
| v Bodies Corporate | | 238 | 1699979 | 0 | 0 | 1699979 | 4.57 | 1699979 | 0 | 1699979 | 4.57 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1572171 | |
| (i) Bervin Investment And Leasing Limited. | AAACB6671R | 1 | 682,970 | 0 | 0 | 682,970 | 1.83 | 682970 | 0 | 682970 | 1.83 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 682970 | |
| vi NBFC | | 2 | 11000 | 0 | 0 | 11000 | 0.03 | 11000 | 0 | 11000 | 0.03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11000 | |
| vii Limited Liability Partnership - LLP | | 2 | 36 | 0 | 0 | 36 | 0.00 | 36 | 0 | 36 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | |
| 0 | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Sub-Total (B)(3) | | 26427 | 18208523 | 0 | 0 | 18208523 | 48.90 | 18208523 | 0 | 18208523 | 48.90 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16652887 | |
| Total Public Shareholding | | | | | | | | | | | | | | | | | | | | |
| (B) = (B)(1)+(B)(2)+(B)(3) | | 26462 | 19815865 | 0 | 0 | 19815865 | 53.21 | 19815865 | 0 | 19815865 | 53.21 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 18232894 | |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons:
Institutions/Non Institutions holding more than 1% of total number of shares.
- Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| Category & Name of the Shareholders | PAN | No. of shareholder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked In shares | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | | | | | | |
|--|-----|--------------------|---|-----------------------------------|---|------------------------|---|--|---------|-------|--|---|----------------------------|--|-----------------------------------|---|-------------------------------------|-----|------------------------|-----------------------------|----------------------|--|
| | | | | | | | | (IX) | | | | | | (X) | (XI) = (VII)+(X) as a % of A+B+C2 | | (XII) | | (XIV) (Not Applicable) | | | |
| | | | | | | | | No of Voting Rights | | | | | | | | | Total as a % of Total Voting rights | No. | | As a % of total Shares held | No. (not applicable) | As a % of total shares held (Not applicable) |
| | | | | | | | | Class X | Class Y | Total | | | | | | | | | | | | |
| (1) Custodian/DR Holder | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | | 0 | | | | | | | |
| (a) Name of DR Holder (if available) | | | | | | | | | | | | | | | | | | | | | | |
| (i) abc,... | | | | | | | | | | | | | | NA | | | | | | | | |
| (ii) efg,... | | | | | | | | | | | | | | NA | | | | | | | | |
| (2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0 | | | | | | | |
| (a) Name (abc...) | | | | | | | | | | | | | | NA | | | | | | | | |
| Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | NA | 0 | | | | | | | |